

## SOME ASPECTS OF THE CATEGORY OF SUBOBJECTS OF CONSTANT OBJECTS IN A TOPOS

J.L. BELL

*Mathematics Department, London School of Economics, London, England*

Communicated by D.S. Scott

Received 6 June 1980

Revised 1 April 1981

Let  $\mathcal{F}$  be a topos and let  $\mathcal{E}$  be a topos defined over  $\mathcal{F}$  by a geometric morphism  $\gamma$ . Objects of  $\mathcal{E}$  of the form  $\gamma^*X$  for  $X \in \mathcal{F}$  are called *constant* objects. In this paper we shall study the full subcategory  $\mathcal{E}^*$  of  $\mathcal{E}$  consisting of all *subobjects* of constant objects in  $\mathcal{E}$ . In the case where  $\mathcal{F}$  is the category  $\mathcal{S}$  of sets we construct, for each complete Heyting algebra  $H$ , a simple category  $\tilde{H}$  which we show to be equivalent to  $\mathcal{E}^*$  when  $H$  is the algebra of subobjects of the terminal object in  $\mathcal{E}$ . This yields a new and especially straightforward proof of the well-known result that a topos defined over  $\mathcal{S}$  is equivalent as a category to a Boolean extension of the universe of sets iff it satisfies the axiom of choice. We go on to investigate the properties of  $\tilde{H}$  and in Section 2 we extend some of our results to the case in which  $\mathcal{F}$  is an arbitrary base topos.

### 1. Toposes defined over the category of sets

Let  $\mathcal{E}$  be a topos defined over the category  $\mathcal{S}$  of sets by a geometric morphism  $\gamma$ . In this case we know that  $\gamma^*1 = \coprod_I 1$  and  $\gamma_*X = \mathcal{E}(1, X)$  for  $I \in \mathcal{S}$ ,  $X \in \mathcal{E}$ . Moreover, the coproduct of any family of subobjects of 1 always exists in  $\mathcal{E}$  (cf. the remark on page 120 of [3]), and the objects of  $\mathcal{E}^*$  are precisely the objects of  $\mathcal{E}$  which are of this form. We first find a particularly simple alternative description of  $\mathcal{E}^*$ .

Let  $H$  be a complete Heyting algebra (frame, locale). We define the category  $\tilde{H}$  as follows. The *objects* of  $\tilde{H}$  are all functions  $I \xrightarrow{a} H$ ,  $a = \langle a_i \rangle_{i \in I}$  for all sets  $I$ . If  $I \xrightarrow{a} H$ ,  $J \xrightarrow{b} H$  are two objects in  $\tilde{H}$ , an *arrow*  $a \xrightarrow{p} b$  is a function  $p: I \times J \rightarrow H$ ,  $p = \langle p_{ij} \rangle_{i \in I, j \in J}$  such that

$$p_{ij} \leq b_j \quad (i \in I, j \in J), \quad (1.1)$$

$$p_{ij} \wedge p_{ij'} = 0 \quad (i \in I, j \neq j' \in J), \quad (1.2)$$

$$\bigvee_{j \in J} p_{ij} = a_i \quad (i \in I). \quad (1.3)$$

(We may think of an object  $a$  of  $\tilde{H}$  as an ' $H$ -valued set' in which  $a(i) \in H$  is the ' $H$ -value' of the statement  $i \in a$ . An arrow  $a \xrightarrow{a} b$  in  $\tilde{H}$  may be thought of as an ' $H$ -valued functional relation' between  $a$  and  $b$ .) If  $c = (c_i)_{i \in K}$  is an object of  $\tilde{H}$  and  $q: J \times K \rightarrow H$  is an arrow  $b \rightarrow c$  in  $\tilde{H}$ , the composition  $qp = r$  of  $p$  and  $q$  is defined by

$$r_{jk} = \bigvee_{i \in J} p_{ij} \wedge q_{ik}.$$

It is easy to check that composition is associative and that the identity arrow  $\text{id}: a \rightarrow a$  is given by the 'Kronecker delta' function  $\delta: I \times I \rightarrow H$  such that

$$\delta_{ii} = 0 \quad (i \neq i'), \quad \delta_{ii} = 1.$$

If  $\mathcal{E}$  is an  $\mathcal{S}$ -topos, then (cf. the proof of 5.37 of [3]),  $\gamma_*\Omega$  is a complete Heyting algebra; it is naturally isomorphic to the (partially ordered) set of subobjects of 1 in  $\mathcal{E}$ . Thus the latter is a complete Heyting algebra.

Now we can prove

**1.1. Theorem.** *Let  $\mathcal{E}$  be an  $\mathcal{S}$ -topos, and let  $H$  be the complete Heyting algebra of subobjects of 1 in  $\mathcal{E}$ . Then  $\mathcal{E}^* \cong \tilde{H}$ . If in addition the axiom of choice holds in  $\mathcal{E}$ , then ( $H$  is a complete Boolean algebra and)  $\mathcal{E} \cong \tilde{H}$ .*

**Proof.** We define a functor  $F: \tilde{H} \rightarrow \mathcal{E}$  as follows. For each object  $a: I \rightarrow H$  in  $\tilde{H}$  we put

$$F(a) = \coprod_{i \in I} a_i.$$

If  $b: J \rightarrow H$  is an object in  $\tilde{H}$  and  $p: a \rightarrow b$  an arrow in  $\tilde{H}$ , we define  $F(p): F(a) \rightarrow F(b)$  as follows. From (1.2) and (1.3) we have

$$a_i \cong \coprod_{j \in J} p_{ij} \quad (i \in I)$$

and from the (unique) arrows  $p_{ij} \rightarrow b_j$  given by (1.1) we obtain for each  $i \in I$  a unique arrow  $s_j$  such that the diagram

$$\begin{array}{ccc} p_{ij} & \xrightarrow{\quad} & b_j \\ \downarrow & & \downarrow \\ \coprod_{j \in J} p_{ij} & \xrightarrow{s_j} & \coprod_{j \in J} b_j \end{array}$$

commutes for all  $i \in I, j \in J$ , where the downward arrows are canonical injections. We put  $p'_j$  for the composition

$$a_i \xrightarrow{\quad} \coprod_{j \in J} p_{ij} \xrightarrow{s_j} \coprod_{j \in J} b_j.$$

Thus  $p'_j$  is the unique arrow making the diagram

$$(1.4) \quad \begin{array}{ccc} p_{ij} & \xrightarrow{\quad} & b_j \\ \downarrow & & \downarrow \\ a_i & \xrightarrow{p'_j} & \coprod_{j \in J} b_j \end{array}$$

commute. We finally define  $F(p)$  to be the unique arrow such that the diagram

$$\begin{array}{ccc} a_i & \xrightarrow{\sigma_i} & \coprod_{i \in I} a_i \\ \downarrow p'_i & & \downarrow F(p) \\ & & \coprod_{j \in J} b_j \end{array}$$

commutes for each  $i \in I$ , where  $\sigma_i$  is the canonical injection.

It is not hard to check that  $F$  is a functor, and clearly each object in  $\mathcal{E}^*$  is (isomorphic to an object) in the range of  $F$ . Accordingly, to show that  $F$  is an equivalence it suffices to show that  $F$  is full and faithful.

To verify the fidelity of  $F$ , we first observe that the diagram (1.4) is a pullback for each  $i \in I, j \in J$ . For let

$$\begin{array}{ccc} r_{ij} & \xrightarrow{\quad} & b_j \\ \downarrow & & \downarrow \\ a_i & \xrightarrow{p'_j} & \coprod_{j \in J} b_j \end{array}$$

be a pullback. Then clearly, since (1.4) commutes, we have  $p_{ij} \leq r_{ij}$ . On the other hand, by the universality of coproducts in  $\mathcal{E}$ , we have

$$a_i \cong \coprod_{j \in J} r_{ij},$$

so that

$$\bigvee_{j \in J} p_{ij} = a_i = \bigvee_{j \in J} r_{ij}.$$

But it now follows from the disjointness of coproducts in  $\mathcal{E}$  that  $r_{ij} \wedge r_{ij'} = 0$  when  $j \neq j'$ . One easily concludes from this that  $p_{ij} = r_{ij}$ , so (1.4) is indeed a pullback.

Now let  $a \xrightarrow{a} b$  and  $a' \xrightarrow{a'} b'$  be arrows in  $\tilde{H}$  and suppose that  $F(p) = F(q)$ . Then  $p'_i = q'_i$  for all  $i \in I$  and so, since (1.4) is a pullback, it follows that  $q_{ij} \leq p_{ij}$ . Similarly,  $p_{ij} \leq q_{ij}$  and so  $p = q$ . Hence  $F$  is faithful as claimed.

Finally, we show that  $F$  is full. Suppose that  $a, b$  are objects in  $\tilde{H}$  and that

$F(a) \xrightarrow{f} F(b)$  is an arrow in  $\mathcal{E}$ . For each  $i, j$  form the pullback

$$\begin{array}{ccc} p_{ij} & \xrightarrow{\quad} & b_j \\ \downarrow & & \downarrow \\ a_i & \xrightarrow{f\sigma_i} & \coprod b_j \end{array} \quad (1.5)$$

By the universality of coproducts in  $\mathcal{E}$  we have  $\coprod_{j \in J} p_{ij} \cong a_i$ , and by the disjointness of coproducts in  $\mathcal{E}$  we have  $p_{ij} \wedge p_{i'j} = 0$  for  $j \neq j'$ ; whence  $\bigvee_{j \in J} p_{ij} = a_i$ . Hence  $p = \langle p_{ij} \rangle_{i \in I, j \in J}$  is an arrow  $a \rightarrow b$  in  $\tilde{H}$ . We claim  $F(p) = f$ . For this to be the case it suffices that  $F(p)\sigma_i = f\sigma_i$  for all  $i \in I$ . But this follows immediately from (1.4), (1.5) and the fact that  $p'_i = F(p)\sigma_i$ .

Thus  $F$  is an equivalence and  $\mathcal{E}^* = \tilde{H}$ .

Now suppose that  $\mathcal{E}$  satisfies the axiom of choice. Then, by 5.3 of [3], the subobjects of 1 form a set of generators in  $\mathcal{E}$  and so each object of  $\mathcal{E}$  is covered by a family of subobjects of 1. Using the axiom of choice in  $\mathcal{E}$ , it follows easily from this that each object of  $\mathcal{E}$  is isomorphic to a coproduct of subobjects of 1, whence  $\mathcal{E} = \mathcal{E}^* = \tilde{H}$ .  $\square$

We recall that [1] that, for each complete Boolean algebra  $B$ , the Boolean extension  $V^{(B)}$  of the universe of sets in the sense of Scott-Solovay may be regarded as an  $\mathcal{S}$ -topos in a natural way. Since the axiom of choice holds in such a topos (provided it holds in  $\mathcal{S}$ ), Theorem 1.1 yields as an immediate consequence the following well-known result.

**1.2. Corollary.** *An  $\mathcal{S}$ -topos is equivalent to one of the form  $V^{(B)}$  for a complete Boolean algebra  $B$  if and only if it satisfies the axiom of choice.*

Our next theorem shows that a number of conditions on  $\mathcal{E}^*$  and  $H$  are equivalent.

**1.3. Theorem.** *Let  $\mathcal{E}$  be an  $\mathcal{S}$ -topos and let  $H$  be the complete Heyting algebra of subjects of 1 in  $\mathcal{E}$ . Consider the conditions:*

- (i)  $\mathcal{E}$  satisfies the axiom of choice;
- (ii)  $\mathcal{E}^* \hookrightarrow \mathcal{E}$  is an equivalence;
- (iii)  $\Omega_{\mathcal{E}}$  is isomorphic to an object in  $\mathcal{E}^*$ ;
- (iv)  $\mathcal{E}$  is Boolean;
- (v)  $\mathcal{E}^* = \tilde{H}$  is a topos;
- (vi)  $\mathcal{E}^* = \tilde{H}$  has a subobject classifier;
- (vii)  $H$  is a Boolean algebra;
- (viii)  $\tilde{H} = V^{(B)}$  for some complete Boolean algebra  $B$ .

*Then (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (v), and (v) through (viii) are equivalent. If  $\mathcal{E}$  is localic over  $\mathcal{S}$ , then all the conditions are equivalent. Thus conditions (v) through (viii) are equivalent for any complete Heyting algebra  $H$ .*

**Proof.** (i)  $\Rightarrow$  (ii) follows from Theorem 1.1.

(ii)  $\Rightarrow$  (iii) is trivial.

(iii)  $\Rightarrow$  (iv). Recall that an object  $X$  of a topos is said to be *decidable* if the diagonal subobject  $X \xrightarrow{\Delta} X \times X$  has a complement. It is easy to verify that, since each object  $X$  of  $\mathcal{S}$  is decidable, so is each object of  $\mathcal{E}$  of the form  $\gamma^*X$  and hence so is any subobject of such an object; i.e. any object in  $\mathcal{E}^*$  is decidable. Thus condition (iii) implies that  $\Omega_{\mathcal{E}}$  is decidable, and this is well known to be equivalent to Booleanness of  $\mathcal{E}$ .

(iv)  $\Rightarrow$  (iii). If  $\mathcal{E}$  is Boolean, then  $\Omega_{\mathcal{E}} \cong 1 + 1 \cong \gamma^*(1 + 1) \in \mathcal{E}^*$ .

(ii)  $\Rightarrow$  (i). If (ii) holds, then  $\mathcal{E}$  is certainly localic over  $\mathcal{S}$ ; but since (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (iv)  $\mathcal{E}$  is also Boolean. Then by 5.39 of [3] the axiom of choice in  $\mathcal{S}$  yields the axiom of choice in  $\mathcal{E}$ .

(iii)  $\Rightarrow$  (vi). Since  $\mathcal{E}^*$  is easily seen to be closed under products and subobjects in  $\mathcal{E}$ , it follows that it is also closed under pullbacks in  $\mathcal{E}$ . The implication in question now follows easily.

(v)  $\Rightarrow$  (vi) is trivial.

(vi)  $\Rightarrow$  (vii). Let  $\langle b_j \rangle_{j \in J}$  be the subobject classifier in  $\tilde{H}$ . Then, given  $a \in H$ , the object  $\langle a \rangle$  of  $\tilde{H}$  is a subobject of the terminal object  $\langle 1 \rangle$  in  $\tilde{H}$  and so there are arrows

$$\langle 1 \rangle \xrightarrow{p} \langle b_j \rangle_{j \in J}, \quad \langle 1 \rangle \xrightarrow{q} \langle b_j \rangle_{j \in J}$$

in  $\tilde{H}$  such that

$$\begin{array}{ccc} \langle a \rangle & \xrightarrow{\quad} & \langle 1 \rangle \\ \downarrow & & \downarrow q \\ \langle 1 \rangle & \xrightarrow{p} & \langle b_j \rangle_{j \in J} \end{array} \quad (1.6)$$

is a pullback. Since  $p$  and  $q$  are arrows in  $\tilde{H}$ , we have

$$p_j \wedge p_k = q_j \wedge q_k = 0 \quad \text{for } j \neq k \in J, \\ 1 = \bigvee_{j \in J} p_j = \bigvee_{k \in J} q_k,$$

so that

$$\bigvee_{j \in J} \bigvee_{k \in J} p_j \wedge q_k = 1. \quad (1.7)$$

Since (1.6) commutes, we have

$$a \wedge q_j = a \wedge p_j \quad (j \in J),$$

so that

$$\begin{aligned} a &= a \wedge 1 = \bigvee_{j \in J} a \wedge p_j \\ &= \bigvee_{j \in J} a \wedge p_j \wedge q_j \\ &\leq \bigvee_{j \in J} p_j \wedge q_j. \end{aligned} \quad (1.8)$$

But since (1.6) is a pullback, we must have, for all  $c \in H$ ,

$$\forall j \in J [c \wedge p_j = c \wedge q_j] \Rightarrow c \leq a.$$

In particular, taking  $c = \bigvee_{j \in J} p_j \wedge q_j$ , we get

$$\bigvee_{j \in J} p_j \wedge q_j \leq a,$$

so that, by (1.8),  $a = \bigvee_{j \in J} p_j \wedge q_j$ . But then, by (1.7),  $a$  has a complement  $\bigvee_{j \in J} p_j \wedge q_k$  in  $H$ . This gives (vii).

(vii)  $\Rightarrow$  (viii). This follows from Theorem 1.1.

(viii)  $\Rightarrow$  (v) is trivial.

Finally, if  $\mathcal{E}$  is localic over  $\mathcal{S}$ , then (vii)  $\Rightarrow$  (iv) and hence (in this case) (vii)  $\Rightarrow$  (i). For suppose that  $\mathcal{E}$  is not Boolean; then  $\neg \neg : \Omega \rightarrow \Omega$  is not the identity. Hence by the locality of  $\mathcal{E}$  there is  $U \rightarrow 1$  and  $U \xrightarrow{\alpha} \Omega$  such that

$$U \xrightarrow{\alpha} \Omega \neq U \xrightarrow{\alpha} \Omega \xrightarrow{\neg \neg} \Omega.$$

Since  $\Omega$  is injective there is  $1 \xrightarrow{\beta} \Omega$  such that

$$U \xrightarrow{\alpha} \Omega = U \longrightarrow 1 \xrightarrow{\beta} \Omega.$$

Clearly, then

$$1 \xrightarrow{\beta} \Omega \xrightarrow{\neg \neg} \Omega \neq 1 \xrightarrow{\beta} \Omega.$$

But this means that the subobject of 1 classified by  $\beta$  is not equal to its double complement in  $H$ , i.e.  $H$  is not Boolean.  $\square$

**Remark.** It is well known that the implication (i)  $\Rightarrow$  (iv) cannot be reversed; e.g. take  $\mathcal{E}$  to be the topos  $\mathcal{S}^G$  of  $G$ -sets for a group  $G$ . A similar counterexample shows the irreversibility of the implication (iv)  $\Rightarrow$  (v): take  $\mathcal{E}$  to be the topos  $\mathcal{S}^M$  of  $M$ -sets for a monoid  $M$  which is not a group. Then  $E$  is not Boolean; on the other hand 1 has only two subobjects 0 and 1 in  $\mathcal{E}$ , so  $\mathcal{E}^* \cong \mathcal{S}$  and (v) is satisfied.

## 2. Toposes defined over an arbitrary base topos

We now suppose that  $\mathcal{E}$  is a topos defined over an arbitrary base topos  $\mathcal{S}$  by a geometric morphism  $\gamma$  and investigate the extent to which the results and constructions of the previous section carry over to this more general setting. We shall employ freely the internal (Mitchell–Benabou) language of a topos as presented in §5.4 of [3].

To begin with, let us see how to generalize the construction of  $\tilde{H}$ . Let  $H$  be an internally complete Heyting algebra object in  $\mathcal{S}$ ; we define the category  $\tilde{H}$  as follows. (It is important to observe that  $\tilde{H}$  is an ‘honest-to-goodness’ category, not an internal category in  $\mathcal{S}$ .)

First of all, the objects of  $\tilde{H}$  are the objects of  $\mathcal{S}/H$ , i.e. all arrows  $I \xrightarrow{a} H$  in  $\mathcal{S}$ . Before defining the arrows of  $\tilde{H}$  we need some notation. We let

$$\lambda_H : \Omega_{\mathcal{S}} \rightarrow H$$

be the arrow defined by

$$\lambda_H(p) = \bigvee_H \{a \in H : (a = 1_H) \wedge p\},$$

where  $p$  is a variable of type  $\Omega_{\mathcal{S}}$ . For each object  $J$  of  $\mathcal{S}$ , we let

$$\delta_J : J \times J \rightarrow \Omega_{\mathcal{S}}$$

be the classifying arrow of the diagonal subobject of  $J \times J$ , and we put  $\text{eq}_J$  for the composition

$$J \times J \xrightarrow{\delta_J} \Omega_{\mathcal{S}} \xrightarrow{\lambda_H} H.$$

Now we can define the arrows of  $\tilde{H}$ . Given objects  $I \xrightarrow{a} H$  and  $J \xrightarrow{b} H$  of  $\tilde{H}$ , an arrow  $a \xrightarrow{p} b$  in  $\tilde{H}$  is an arrow  $I \times J \xrightarrow{p} H$  in  $\mathcal{S}$  satisfying the following conditions, where  $i, j, j', x$  are variables of types  $I, J, J, H$  respectively:

$$F \models p(i, j) \leq_H b(j) \quad (2.1)$$

$$F \models p(i, j) \wedge p(i, j') \leq_H \text{eq}_J(j, j') \quad (2.2)$$

$$F \models \bigvee_H \{x : \exists j [x = p(i, j)]\} = a(i). \quad (2.3)$$

(Notice that these conditions are just the ‘internal’ analogues of the conditions (1.1), (1.2), (1.3).) If  $K \xrightarrow{c} H$  is an object of  $\tilde{H}$  and  $J \times K \xrightarrow{q} H$  is an arrow  $b \rightarrow c$  in  $\tilde{H}$ , the composition  $ap = r$  is given by

$$r(i, k) = \bigvee_H \{x : \exists j [x = p(i, j) \wedge q(j, k)]\},$$

where  $k$  is a variable of type  $k$ . The identity arrow

$$a \xrightarrow{\text{id}_a} a$$

in  $\tilde{H}$  is given by

$$\text{id}_a = \wedge_H \langle \text{eq}_1, a\pi_1 \rangle,$$

where  $\pi_1$  is 'projection onto the first coordinate' and  $\wedge_H$  is the meet operation in  $H$ . It is readily checked that these data do determine a category.

Now let  $\mathcal{F} \rightarrow \mathcal{F}$  be a geometric morphism. Then ([3], 5.36)  $H = \gamma_* \Omega_{\mathcal{F}}$  is an internally complete Heyting algebra object in  $\mathcal{F}$ , and in this case it is easily verified that the arrow  $\lambda = \lambda_H$  has  $(\gamma^* \dashv \gamma_*)$ -transpose  $\tilde{\lambda}: \gamma^* \Omega_{\mathcal{F}} \rightarrow \Omega_{\mathcal{F}}$  classifying  $\gamma^*(\text{true}_{\mathcal{F}})$ .

We recall that we have defined  $\mathcal{F}^*$  to be the full subcategory of  $\mathcal{F}$  whose objects are all subobjects of objects of the form  $\gamma^* I$  for  $I \in \mathcal{F}$ . We shall prove the analogue of 1.1 in this more general context.

### 2.1. Theorem. $\mathcal{F}^* = (\gamma_* \Omega_{\mathcal{F}})^{\perp}$ .

Before giving the proof, we need some more terminology and a lemma. Let  $X \xrightarrow{f} Y$  be a partial arrow in  $\mathcal{F}$ , given by the diagram

$$\begin{array}{ccc} X' & \xrightarrow{f} & Y \\ f' \downarrow & & \downarrow \\ X & & \end{array} \quad (2.4)$$

We define the *graph* of  $f$ ,  $\text{gph}(f)$ , to be the image of the arrow

$$X' \xrightarrow{\langle f', f \rangle} X \times Y,$$

i.e. the extension of the formula

$$\exists x' [(x, y) = \langle f'(x'), f(x') \rangle],$$

where  $x, x', y$  are variables of types  $X, X', Y$  respectively.

**2.2. Lemma.** Let  $X \times Y \xrightarrow{r} \Omega_{\mathcal{F}}$ , let  $R$  be the subobject of  $X \times Y$  classified by  $r$ , and let  $\ulcorner R \urcorner$  be the corresponding global element of  $\Omega^{X \times Y}$ . Then the following are equivalent:

- (i)  $R = \text{gph}(f)$  for some  $X \xrightarrow{f} Y$ ;
  - (ii)  $E = \langle x, y \rangle \in \ulcorner R \urcorner \wedge \langle x, z \rangle \in \ulcorner R \urcorner \Rightarrow y = z$ ;
  - (iii)  $E = r(x, y) \wedge r(x, z) \leq \Omega \delta_y(y, z)$ ,
- where  $x, y, z$  are variables of type  $X, Y, Y$  respectively. Moreover, if these conditions hold, then the subobject  $X'$  of  $X$  on which  $f$  is defined may be taken to be  $\ulcorner x: \exists y \langle x, y \rangle \in \ulcorner R \urcorner \urcorner$ , or equivalently  $\ulcorner x: \exists y [r(x, y) = \text{true}_{\mathcal{F}}] \urcorner$ .

**Proof.** (ii)  $\Leftrightarrow$  (iii) holds by definition.

(i)  $\Leftrightarrow$  (ii). We have, introducing variables  $x', x''$  of type  $X'$ ,

$$\begin{aligned} \mathcal{F} &= \langle x, y \rangle \in \ulcorner \text{gph}(f) \urcorner \wedge \langle x, z \rangle \in \ulcorner \text{gph}(f) \urcorner \\ &= \exists x' [x = f'(x') \wedge y = f(x')] \wedge \exists x'' [x = f'(x'') \wedge z = f(x'')] \\ &= y = z, \end{aligned}$$

by the monicity of  $f'$  (see diagram (2.4)).

(ii)  $\Leftrightarrow$  (i). Form the pullback

$$\begin{array}{ccc} X' & \xrightarrow{f} & Y \\ f' \downarrow & & \downarrow \{\cdot\} \\ X & \xrightarrow{[\{y: \langle x, y \rangle \in \ulcorner R \urcorner\}]} & \Omega^Y \end{array} \quad (2.5)$$

Then we have

$$\begin{aligned} \mathcal{F} = \langle x, y \rangle \in \ulcorner \text{gph}(f) \urcorner &\Leftrightarrow \exists x' [x = f'(x') \wedge y = f(x')] \\ &\Leftrightarrow \{x: \langle x, z \rangle \in \ulcorner R \urcorner\} = \{y\} \quad (\text{since (2.5) is a pullback}) \\ &\Leftrightarrow \langle x, y \rangle \in \ulcorner R \urcorner \quad (\text{by (ii)}). \end{aligned}$$

Thus  $R = \text{gph}(f)$  as required.

To prove the final assertion, we merely observe that, by the above,

$$\mathcal{F} = \exists x' [x = f'(x')] \Leftrightarrow \exists y [\langle x, y \rangle \in \ulcorner R \urcorner]. \quad \square$$

Now we can provide the

**Proof of Theorem 2.1.** We define a functor

$$\beta: (\gamma_* \Omega_{\mathcal{F}})^{\perp} \rightarrow \mathcal{F}^*$$

as follows. Given an arrow  $I \xrightarrow{a} \gamma_* \Omega_{\mathcal{F}}$  in  $(\gamma_* \Omega_{\mathcal{F}})^{\perp}$ , let

$$\gamma^* I \xrightarrow{a} \Omega_{\mathcal{F}}$$

be its transpose across the adjunction  $\gamma^* \dashv \gamma_*$ , and let  $\beta(a)$  be the subobject of  $\gamma^* I$  classified by  $a$ . Clearly  $\beta(a) \in \mathcal{F}^*$  and every object of  $\mathcal{F}^*$  is isomorphic to an object of this form.

Next, given an object  $J \xrightarrow{b} \gamma_* \Omega_{\mathcal{F}}$  and an arrow  $a \xrightarrow{p} b$  in  $(\gamma_* \Omega_{\mathcal{F}})^{\perp}$ , i.e. an arrow  $I \times J \xrightarrow{p} \gamma_* \Omega_{\mathcal{F}}$  in  $\mathcal{F}$  satisfying (2.1), (2.2), (2.3) (with  $H = \gamma_* \Omega_{\mathcal{F}}$ ), let

$$\beta: \gamma^* I \times \gamma^* J \cong \gamma^*(I \times J) \longrightarrow \Omega_{\mathcal{F}}$$

be its transpose across  $\gamma^* \dashv \gamma_*$ . After transposition across  $\gamma^* \dashv \gamma_*$ , conditions (2.1), (2.2) and (2.3) become the following, where  $x, y, z$  are variables of type  $\gamma^* I, \gamma^* J, \gamma^* J$ ,

respectively, and  $a, b$  are the transposes of  $a, b$ , respectively:

$$\phi \equiv \rho(x, y) \leq \bar{b}(y) \tag{2.1}$$

$$\phi \equiv \rho(x, y) \wedge \rho(x, z) \leq \delta_{\gamma, \gamma}(y, z) \tag{2.2}$$

$$|\exists y [\rho(x, y) = \text{true}_i] = \bar{a}(x). \tag{2.3}$$

From Lemma 2.2 we see that (2.2) implies that there is a partial arrow

$$\gamma^* I \xrightarrow{f} \gamma^* J,$$

unique up to isomorphism, such that  $\text{gph}(f)$  is equal to the subobject of  $\gamma^* I \times \gamma^* J$  classified by  $\rho$ . Condition (2.3) tells us that  $f$  is defined on the subobject  $\beta(a)$  of  $\gamma^* I$  classified by  $a$ , and (2.1) that the image of  $f$  is contained in the subobject  $\beta(b)$  of  $\gamma^* J$  classified by  $b$ . Thus we may regard  $f$  as an arrow

$$\beta(a) \xrightarrow{f} \beta(b).$$

We put  $\beta(p) = f$ .

One can now check (tediously!) that  $\beta$  preserves composition and the identity arrows. Thus we have a functor

$$\beta: (\gamma^* \Omega_i)^- \longrightarrow \mathcal{E}^*.$$

It remains to show that  $\beta$  is an equivalence of categories. We have already remarked that every object in  $\mathcal{E}^*$  is isomorphic to one in the range of  $\beta$ . Also,  $\beta$  is clearly faithful. To show that  $\beta$  is full, let  $a, b \in (\gamma^* \Omega_i)^-$  and let  $\beta(a) \xrightarrow{f} \beta(b)$  be an arrow in  $\mathcal{E}^*$ . Let  $\rho$  be the characteristic arrow of the subobject of  $\gamma^* I \times \gamma^* J$  corresponding to the graph of  $f$ . It is then easy to check that (2.1), (2.2), (2.3) hold for  $\rho$ , and transposition across  $\gamma^* \dashv \gamma_*$  yields (2.1), (2.2), (2.3) for its transpose  $p$ . Thus  $a \xrightarrow{p} b$  is an arrow in  $(\gamma^* \Omega_i)^-$ , and clearly  $\beta(p) = f$ . Hence  $\beta$  is full, and therefore an equivalence.  $\square$

By taking  $\mathcal{E} = \mathcal{F}$  and  $\gamma$  the identity functor in Theorem 2.1, we immediately obtain

**2.3. Corollary.**  $\bar{\Omega}_i \cong \mathcal{F}$ .  $\square$

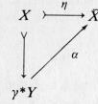
Having affirmed that Theorem 1.1 carries over to the case of an arbitrary base topos, we may now ask to what extent the same is true of Theorem 1.3. I have not been able to solve this problem completely, but I shall give a partial solution in Theorem 2.5. First, however, we require another lemma, which gives a canonical representation of objects in  $\mathcal{E}^*$ .

**2.4. Lemma.** For each object  $X$  of  $\mathcal{E}$  the following are equivalent:

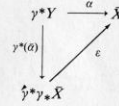
- (i)  $X \in \mathcal{E}^*$ ;

(ii) there is a monic  $X \rightarrow \gamma^* \gamma_* \bar{X}$ , where  $\bar{X}$  is the partial map classifier of  $X$ . If  $X$  is injective, then  $\bar{X}$  may be replaced by  $X$ .

**Proof.** (ii)  $\Rightarrow$  (i) being trivial, we need only prove (i)  $\Rightarrow$  (ii). If  $X \in \mathcal{E}^*$ , then by definition there is  $Y \in \mathcal{F}$  and a monic  $X \rightarrow \gamma^* Y$ . Hence there is  $\gamma^* Y \xrightarrow{\alpha} \bar{X}$  such that the diagram



commutes. Now if  $Y \xrightarrow{\beta} \gamma_* \bar{X}$  is the transpose of  $\alpha$  across  $\gamma^* \dashv \gamma_*$ , we have the commutative diagram



where  $\epsilon$  is the counit arrow. Hence the composition

$$X \longrightarrow \gamma^* Y \xrightarrow{\gamma^*(\beta)} \gamma^* \gamma_* \bar{X}$$

is monic.

Clearly, if  $X$  is injective, we may replace  $\bar{X}$  by  $X$  and  $\eta$  by the identity arrow.  $\square$

Now we can prove:

**2.5. Theorem.** Let  $\mathcal{F}$  be a topos, let  $\mathcal{E}$  be a topos defined over  $\mathcal{F}$  by a geometric morphism  $\gamma$ , and let  $H = \gamma_* \Omega_i$ . Consider the conditions:

- (i)  $\Omega_i$  is isomorphic to an object in  $\mathcal{E}^*$ ;
- (ii) the counit arrow  $\gamma^* \gamma_* \Omega_i \xrightarrow{\epsilon} \Omega_i$  has a section;
- (iii)  $\mathcal{E}$  is Boolean;
- (iv)  $\mathcal{E}^* \cong \bar{H}$  has a subobject classifier;
- (v)  $H$  is an internal Boolean algebra.

Then (i)  $\Leftrightarrow$  (ii). If  $\mathcal{F}$  is Boolean, then (i)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (iv)  $\Leftrightarrow$  (v). Finally, if  $\mathcal{F}$  is Boolean and  $\mathcal{E}$  is localic over  $\mathcal{F}$ , then all the conditions are equivalent.

**Proof.** (ii)  $\Rightarrow$  (i) is trivial.

(i)  $\Rightarrow$  (ii). By Lemma 2.4, if (i) holds, then since  $\Omega_i$  is injective we have a monic  $\Omega_i \rightarrow \gamma^* \gamma_* \Omega_i$ . Again using the injectivity of  $\Omega_i$ , there is an arrow  $\gamma^* \gamma_* \Omega_i \xrightarrow{\beta} \Omega_i$ ,

such that  $\beta \cdot \alpha = \text{id}$ . Let  $\gamma_* \Omega \xrightarrow{\beta} \gamma_* \Omega'$  be the transpose of  $\beta$  across  $\gamma^* \dashv \gamma_*$ . Then  $\beta = \varepsilon \cdot \gamma^*(\beta)$ , so

$$\text{id} = \beta \cdot \alpha = \varepsilon \cdot \gamma^*(\beta) \cdot \alpha.$$

From now on we suppose that  $\mathcal{F}$  is Boolean.

(i)  $\Leftrightarrow$  (iii) is proved in exactly the same manner as (iii)  $\Leftrightarrow$  (iv) in Theorem 1.3.

(i)  $\Rightarrow$  (iv) is proved in just the same way as (iii)  $\Rightarrow$  (vi) in Theorem 1.3.

(iv)  $\Rightarrow$  (v). Suppose that  $\mathcal{E}^*$  has a subobject classifier

$$1 \xrightarrow{\text{true}'} \Omega'.$$

Since  $\Omega'$  is a subobject of an object of the form  $\gamma^*X$  for some  $X \in \mathcal{F}$  and since each object in the Boolean topos  $\mathcal{F}$  is decidable,  $\Omega'$  itself must be decidable. By standard arguments, the global element

$$1 \xrightarrow{\text{true}'} \Omega'$$

must then have a complement

$$1 \xrightarrow{\text{false}'} \Omega'.$$

It follows that

$$\begin{pmatrix} \text{true}' \\ \text{false}' \end{pmatrix}$$

is an isomorphism between  $1 + 1$  and  $\Omega'$ . Thus  $1 + 1$  is the subobject classifier in  $\mathcal{E}^*$ .

Now, by §1 of [2], we may without loss of generality replace  $\mathcal{E}$  by the localic topos  $\mathcal{F}[H]$  of internal sheaves on  $H$  (since  $\mathcal{E}^*$  is unaffected by the change). Since  $\mathcal{F}[H]$  is localic over  $\mathcal{F}$ , we have a diagram of the form

$$\begin{array}{ccc} Y & \xrightarrow{\gamma} & \gamma^*X \\ \downarrow & & \downarrow \\ \Omega & & \end{array}$$

where  $X \in \mathcal{F}$  and  $\Omega$  is the subobject classifier in  $\mathcal{F}[H]$ . Since  $\Omega$  is injective, we have an epic  $\gamma^*X \twoheadrightarrow \Omega$ . Form the pullback

$$\begin{array}{ccc} Z & \longrightarrow & 1 \\ \downarrow & & \downarrow \text{true} \\ \gamma^*X & \xrightarrow{\alpha} & \Omega. \end{array}$$

Since  $Z$  and  $\gamma^*X$  are both in  $\mathcal{E}^*$  and  $1 + 1$  is the subobject classifier in  $\mathcal{E}^*$ , we have a

pullback (in  $\mathcal{E}^*$ , and hence also in  $\mathcal{F}[H]$ )

$$\begin{array}{ccc} Z & \longrightarrow & 1 \\ \downarrow & & \downarrow \sigma_1 \\ \gamma^*X & \xrightarrow{\beta} & 1 + 1 \end{array}$$

where  $\sigma_1$  is a canonical injection. Combining this with the obvious pullback diagram

$$\begin{array}{ccc} 1 & \longrightarrow & 1 \\ \downarrow \sigma_1 & & \downarrow \text{true} \\ 1 + 1 & \xrightarrow{\begin{pmatrix} \text{true} \\ \text{false} \end{pmatrix}} & \Omega \end{array}$$

yields a pullback

$$\begin{array}{ccc} Z & \longrightarrow & 1 \\ \downarrow & & \downarrow \text{true} \\ \gamma^*X & \xrightarrow{\begin{pmatrix} \text{true} \\ \text{false} \end{pmatrix} \cdot \beta} & \Omega \end{array}$$

But then  $\alpha$  and  $\beta \cdot \begin{pmatrix} \text{true} \\ \text{false} \end{pmatrix}$  both classify the subobject  $Z$  of  $\gamma^*X$ , so they are equal; and since  $\alpha$  is epic, so is  $\begin{pmatrix} \text{true} \\ \text{false} \end{pmatrix}$ . But  $\begin{pmatrix} \text{true} \\ \text{false} \end{pmatrix}$  is obviously monic, and so it is an isomorphism. Therefore  $\mathcal{F}[H]$  is Boolean, which implies, by 2.2 of [2], that  $H$  is an internal Boolean algebra.

(v)  $\Rightarrow$  (iv). Again we may without loss of generality replace  $\mathcal{E}$  by  $\mathcal{F}[H]$ . If  $H$  is an internal Boolean algebra, then  $\mathcal{F}[H]$  is Boolean by 2.2 of [2]. So the subobject classifier  $1 + 1$  of  $\mathcal{F}[H]$  is in  $\mathcal{E}^*$ , and is clearly a subobject classifier there as well.

Finally, if  $\mathcal{E}$  is localic over  $\mathcal{F}$ , then  $\mathcal{E} \cong \mathcal{F}[H]$  by the relative Giraud theorem, and (v) yields (iii) by 2.2 of [2].  $\square$

### 3. Final remarks

In the original version of this paper, I posed a number of open problems, one of which has recently been solved by Gordon Monro in [4]. These problems were:

- (a) Does  $\mathcal{E}^* \cong \tilde{H}$  always have exponentials?
- (b) If  $\mathcal{E}$  is a Boolean topos, defined and localic over a Boolean topos  $\mathcal{F}$ , must the inclusion  $\mathcal{E}^* \hookrightarrow \mathcal{E}$  be an equivalence? (By Theorem 1.3, this is true when  $\mathcal{F} = \mathcal{S}$  and the axiom of choice holds. It can also be shown to hold when  $\mathcal{F}$  is any topos satisfying the axiom of choice.)
- (c) If the answer to (b) is, in general, no, find a characterization of those toposes defined over a (Boolean) topos  $\mathcal{F}$  for which  $\mathcal{E} = \mathcal{E}^*$ .

Let me sketch a proof that a positive answer to (a) (even just for *Boolean*  $\mathcal{E}$ ) yields the same for (b). To begin with, it is easy to show that  $\mathcal{E}^*$  inherits (finite) products from  $\mathcal{E}$ . So if  $\mathcal{E}^*$  has exponentials, where  $\mathcal{E}$  is Boolean and defined over a Boolean topos  $\mathcal{F}$  by a geometric morphism  $\gamma$ , then  $\mathcal{E}^*$  is a Boolean topos with subobject classifier  $2 = 1 + 1$  inherited from  $\mathcal{E}$ . Thus  $\mathcal{E}$  and  $\mathcal{E}^*$  are both localic  $\mathcal{F}$ -toposes and, by the relative Giraud theorem, they are both equivalent over  $\mathcal{F}$  to the topos  $\mathcal{F}[B]$  of internal sheaves on the internally complete Boolean algebra  $B = \gamma_* 2$  in  $\mathcal{F}$ . Accordingly we have a commutative diagram

$$\begin{array}{ccc} \mathcal{E}^* & \xrightarrow{\alpha} & \mathcal{E} \\ \gamma^* \downarrow & & \downarrow \gamma_* \\ \mathcal{F} & & \mathcal{F} \end{array}$$

where  $\alpha$  is an equivalence. If  $X \in \mathcal{E}^*$  there is a diagram of the form  $X \rightarrow \gamma^* A$  in  $\mathcal{E}$  and hence in  $\mathcal{E}^*$ . Applying  $\alpha$  gives  $\alpha X \rightarrow \alpha(\gamma^* A) = \gamma_* A$ . Therefore  $\alpha X \in \mathcal{E}^*$ . Since  $\alpha$  is an equivalence, every object in  $\mathcal{E}$  is isomorphic to one of the form  $\alpha X$ , and hence to one in  $\mathcal{E}^*$ . Therefore  $\mathcal{E}^* \hookrightarrow \mathcal{E}$  is an equivalence.

Now Monro has shown that the answer to (b) is, in general, no. In fact he shows that  $\mathcal{E}^* \hookrightarrow \mathcal{E}$  can fail to be an equivalence even when the base topos  $\mathcal{F}$  is  $\mathcal{S}$ , provided the axiom of choice fails in a certain (relatively consistent) way in  $\mathcal{S}$ . He starts with the well-known Halpern–Levy model  $N$  of set theory in which the axiom of choice fails but in which every set is totally orderable. Then he constructs a certain complete Boolean algebra  $B$  in  $N$  such that, in the corresponding Boolean extension  $N^{(B)}$ , with probability 1 the power set  $P(\mathbb{R})$  of the set of real numbers is not totally orderable. Thinking of  $N$  as our base topos  $\mathcal{S}$  of sets and  $N^{(B)}$  as the (Boolean) topos  $\mathcal{E}$  defined over  $\mathcal{S}$ , it follows that the object  $P(\mathbb{R})$  of  $\mathcal{E}$  cannot be (isomorphic to an object) in  $\mathcal{E}^*$ , for it is not hard to see that any object in  $\mathcal{E}^*$  must be totally orderable. So in this case the inclusion  $\mathcal{E}^* \hookrightarrow \mathcal{E}$  cannot be an equivalence. We also see that (a) fails as well:  $B$  does not have exponentials.

Problem (c) is, however, still open.

#### Acknowledgements

I would like to thank Mike Brockway for the many discussions we've had concerning the results presented here, Peter Johnstone for pointing out implication (ii)  $\Rightarrow$  (iv) of Theorem 1.3 to me, and Gordon Monro for providing a preprint of [4].

#### References

- [1] D. Higgs, A category approach to Boolean-valued set theory, Unpublished typescript, University of Waterloo (1973).
- [2] P. T. Johnstone, Factorization and pullback theorems for localic geometric morphisms, Institut de Mathématique Pure et Appliquée, Univ. Cath. de Louvain, Séminaire de Math. Pure, Rapport no. 79 (1979).
- [3] P. T. Johnstone, Topos Theory (Academic Press, London, 1977).
- [4] G. P. Monro, On generic extensions without the axiom of choice, Journal of Symbolic Logic, to appear.